01-23-01

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UTILITY
PATENT APPLICATION
TRANSMITTAL

ly for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	030633.0010
First Inventor	Jonathan E. Schroeder
Title	System and Method for Conducting Electronic Commerce
Express Mail Label No.	EF062434188US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Pa
Box Patent Application
Washington, D.C. 20231

1. ☐ **Fee Transmittal Form** (e.g., PTO/SB17)
(Submit an original, and a duplicate for fee processing)

2. ☒ **Applicant claims small entity status.**
See 37 CFR 1.27.

3. ☒ **Specification** [Total Pages 31]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Decription
- Claims
- Abstract of the Disclosure

Drawing(s) (35 U.S.C. 113) [Total Sheets 14]

Oath or Declaration [Total Sheets 2]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

  i. ☐ DELETION OF INVENTOR(S)
  Signed statement attached deleting inventor(s)
  named in the prior application, see 37 CFR
  1.63(d)(2) and 1.33(b).

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Application Data Sheet. See 37 CFR 1.76

7. ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program** *(Appendix)*

8. **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

  a. ☐ Computer Readable Form (CRF)
  b. Specification Sequence Listing on:

   i. ☐ CD-ROM or CD-R (2 copies); or

   ii. ☐ paper

  c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & Document(s))

10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS/PTO-1449 citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____/_____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label   (Insert Customer No. or Attach bar code label here)   ☒ Correspondence address below

John H. D'Antico; Registration No. : 45,917

Brobeck, Phleger & Harrison LLP	4801 Plaza on the Lake	Austin	Texas	78746	(512) 330-4048	(512) 330-4001
Firm	Address	City	State	Zip	Telephone	Facsimile
Signature *John H. D'Antico*				Date 01-19-01		

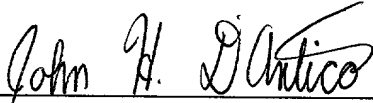# Certificate of Mailing under 37 C.F.R. § 1.10

I hereby certify that this paper or fee (along with any correspondence referred to as being attached or enclosed, including those items listed below) is being deposited with the United States Postal Service on the date set forth below with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to:

> Assistant Commissioner for Patents
> Box: Patent Application
> Washington, D.C. 20231

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___January 19, 2001___
Date of Deposit

___John H. D'Antico___
Signature of Person Mailing Paper(s)

Correspondence Submitted Herewith:

> Utility Patent Application Transmittal Form (1 page)
> Specification (31 pages)
> Drawings (14 pages)
> Declaration (2 pages)
> Assignment and Recordation Cover Sheet (5 pages)
> Grant of Power of Attorney (2 pages)
> Verified Statement Claiming Small Entity Status (1 page)
> Return Post Card